

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	§	
Michael Andrew Yuratich et al.	§	
	§	
Serial No.: 10/562,255	§	Group Art Unit: 3672
	§	
Confirmation No.: 3249	§	
	§	
Filed: December 21, 2005	§	Examiner: Giovanna Collins Wright
	§	
For: ELECTRIC SUBMERSIBLE	§	
PUMPS	§	

Office of Initial Patent Examination's Filing  
Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

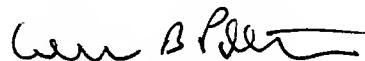
**REQUEST FOR CORRECTION OF ERROR ON FILING RECEIPT**

The Filing Receipt for the above-referenced application contains an error regarding the Applicant(s). The Filing Receipt recites only one Applicant: "Michael Andrew Yuratich, Hampshire, UNITED KINGDOM". It should read:

Michael Andrew Yuratich, Hampshire, UNITED KINGDOM;  
Alan Thomas Fraser, Berkshire, UNITED KINGDOM;

Please see attached, a copy of our letter dated December 14, 2006, including a copy of the executed Combined Declaration and Power of Attorney and return postcard as filed in the subject application on December 21, 2005. Also attached is a copy of the Filing Receipt with the above change noted thereon. Please correct this error or notify the Applicants of the reasons for denying the request. Although Applicants believe that no fee is due, the Commissioner is hereby authorized to charge counsel's Deposit Account No. 20-0782/MRKS/0141/WBP, for any fee required to make this request timely and acceptable to the Office.

Respectfully submitted,



William B. Patterson  
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**PATTERSON &  
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ATTORNEYS AT LAW

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## FACSIMILE COVER SHEET

**DATE:** December 14, 2006  
**FILE NO:** MRKS/0141  
**TO:** Ms. Mamie P. Person  
**FAX NO:** 571-270-9985  
**PHONE NO:** 703-308-9140 ext. 227  
**COMPANY:** USPTO  
**FROM:** William B. Patterson  
**PAGE(S) with cover:** 6  
**ORIGINAL TO FOLLOW?** ☐ YES ☒ NO

**TITLE:** ELECTRIC SUBMERSIBLE PUMPS  
**U.S. SERIAL NO.:** 10/562,255  
**FILING DATE:** December 21, 2005  
**INVENTOR:** Yuratich, et al.  
**EXAMINER:** Unknown  
**GROUP ART UNIT:** Unknown  
**CONFIRMATION NO.:** 3249

### CONFIDENTIALITY NOTE

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**PATTERSON &  
SHERIDAN, LLP**

ATTORNEYS AT LAW

December 14, 2006

Ms. Mamie P. Person  
United States Patent  
and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial Number 10/562,255  
Based on PCT/GB04/02667  
Attorney Docket No.: MRKS/0141

Dear Ms. Person:

Please find attached the executed Combined Declaration and Power of Attorney and return postcard as filed with the above-referenced application on December 21, 2005.

Pursuant to your telephone conversation with Kim Henderson, this will complete the requirements and a response to the Notification of Missing Requirements is not required.

Thank you for your assistance with this matter. If you have any questions or need any additional information, please do not hesitate to contact me.

Very truly yours,

PATTERSON & SHERIDAN, L.L.P.



William B. Patterson

WBP/kh

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DOCKET No.: MR118/0741  
 SERIAL No.: TO BE ASSIGNED  
 FILED: New with  
 APPLICANT: Woodward Clyde Hamby, Inc.  
 INVENTOR: Yurata, et al.

The Patent & Trademark Office acknowledges and has stamped  
 hereon the date of receipt of the items checked below which were  
 mailed December 21, 2005

- ☐ AFFIDAVIT  
☐ AMENDMENT  
☒ APPLICATION PAPERS - OATH/DECLARATION  
☒ TOTAL CLS. 92 IND. CLS. 7 FEE \$ 2400.00  
☒ 24 SHEET(S) OF DRAWING(S) FORMAL/INFORMAL  
☒ ASSIGNMENT-RECORDAL FEE \$ 40.00  
☐ BRIEF  
☐ APPEAL NOTICE  
☒ DECLARATION & POA **10/562255**  
☐ ISSUE FEE - BASE/BALANCE  
☐ LETTER - CHARGE DEPOSIT ACCOUNT  
☒ LETTER OF Trans. USPTO  
☐ TRADEMARK/SERVICEMARK APPLICATION  
☐ PETITION  
☒ PRELIMINARY AMENDMENT w/ replacement drawing  
☒ INFORMATION DISCLOSURE STATEMENT & PTO 1449  
☐ REQUEST FOR EXTENSION OF TIME  
☐ RESPONSE TO \_\_\_\_\_  
☐ SPECIMENS  
☐ SMALL ENTITY  
☐ CHECK # \_\_\_\_\_ IN THE AMOUNT OF \$ \_\_\_\_\_  
☒ Trans. ltr. to NOIED/US Int SR. Written  
☒ Opinion of the ISA; long or brief art.  
 EXPRESS MAIL # 95366 ORA/BUS MAIL LOG # 24230  
 Return to sender.

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☒ original
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part

**INVENTORSHIP IDENTIFICATION**

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Electric Submersible Pumps**

**SPECIFICATION IDENTIFICATION**

The specification of which:

- ☐ is filed herewith
- ☐ was filed on \_\_\_\_\_, under Serial No. \_\_\_\_\_, executed on even date herewith; or
- ☐ Express Mail No.(as Serial No. not yet known) and was amended on \_\_\_\_\_ (if applicable)
- ☒ was described and claimed in PCT International Application No. PCT/GB2004/002667 filed on 21 June 2004

**ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56, and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent.

- ☒ In compliance with this duty there is attached an Information Disclosure Statement in accordance with 37 CFR §1.98.

**PRIORITY CLAIM (35 U.S.C. §119)**

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any provisional or foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below, and have also identified below any provisional or foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

- ☐ No such applications have been filed.  
☒ Such applications have been filed as follows:

- A. Prior foreign/PCT application(s) filed within 12 mos. (6 mos. for design) prior to this application, and any priority claims under 35 USC §119

<u>Country/PCT</u>	<u>Application Number</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
GB	0314553.9	21 June 2003	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

- B. All foreign application(s), if any, filed more than 12 mos. (6 mos. for design) prior to this U.S. application

<u>Country/PCT</u>	<u>Application Number</u>	<u>Filing Date</u>
	Priority information here	

- C. U.S. Provisional Application filed within 12 months prior to this application

<u>Serial Number</u>	<u>Filing Date</u>
----------------------	--------------------

**PRIORITY CLAIM (35 USC §120)**

I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information that is material to the examination of this application (namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

- ☒ No such applications have been filed.

RPHLP32246US

Page 2 of 4

☐ Such applications have been filed, as follows:

<u>Serial Number</u>	<u>Filing Date</u>	<u>Patented</u>	<u>Pending</u>	<u>Abandoned</u>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

#### POWER OF ATTORNEY

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

B. Todd Patterson	Registration No. 37,906
Raymond R. Moser, Jr.	Registration No. 34,682
Keith M. Tackett	Registration No. 32,008
Douglas H. Elliott	Registration No. 32,982
William B. Patterson	Registration No. 34,102

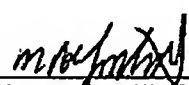
Send correspondence and direct telephone calls to:

William B. Patterson  
MOSER, PATTERSON & SHERIDAN, L.L.P.  
3040 Post Oak Blvd., Suite 1500  
Houston, TX 77056  
Telephone: 713/623/4844

#### DECLARATION

*I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.*

Full name of sole or first inventor: Michael Andrew YURATICH

Inventor's signature: 

Date: 8 September 2004

Residence: 14 Old Priory Close, Hamble, Hampshire SO31 4QP, United Kingdom

Post Office Address: Same as above.

Country of Citizenship: US

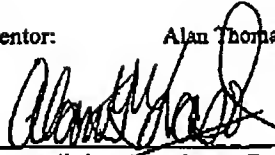
RPHLP52246US

Page 3 of 4

Full name of second inventor:

Alan Thomas FRASER

Inventor's signature:



Date:

8<sup>TH</sup> SEPTEMBER 2004

Residence:

4 Towers Drive, Crowthorne, Berkshire, RG45 7LR, United Kingdom

Post Office Address:

Same as above.

Country of Citizenship: GB



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNITED STATES DEPARTMENT OF COMMERCE  
**United States Patent and Trademark Office**  
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Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/562,255	12/21/2005	3746	2300	MRKS/0141	24	32	7

**CONFIRMATION NO. 3249**

36735  
PATTERSON & SHERIDAN, L.L.P.  
3040 POST OAK BOULEVARD, SUITE 1500  
HOUSTON, TX 77056

**FILING RECEIPT**

Date Mailed: 12/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Michael Andrew Yuratich, Hampshire, UNITED KINGDOM;

Alan Thomas Fraser, Berkshire, UNITED KINGDOM;

**Power of Attorney:** The patent practitioners associated with Customer Number 36735

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/GB04/02667 06/21/2004

**Foreign Applications**

UNITED KINGDOM 0314553.9 06/21/2003

**If Required, Foreign Filing License Granted:** 12/18/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/562,255**

**Projected Publication Date:** 03/29/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Electric submersible pumps

**Preliminary Class**

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

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